caceis
INVESTOR SERVICES

Notification of a data breach
After reviewing the facts, further steps will be taken if necessary and the notifier will be contacted.

Notifier's information	
Name	**Telephone**
Business unit	**Date**

Information regarding the incident	
Type of incident (brief description)	
Category	
Time of occurrence	**Time of discovery**

Which kind of data are concerned?	Which category of people is affected?
E *personal data* E *business information* E *other* If selected "other" please specify:	E *customers* E *CACEIS employees* E *other* If selected "other" please specify:
Are these legal or natural entities or both?	**How many users/entities are affected (estimate)?**
E *legal entities* E *natural entities*	

Have the data been saved on a carrier?
Are the data on the data storage medium encrypted?
If so, how are the data encrypted?
Detailed description of the incident (What exactly happened? Where did the incident take place? Who was involved? How did you learn about it? Which third parties are aware or have the opportunity to take note?
Which actions have been taken?

Please send the filled out form to datenpanne@caceis.com.

CACEIS Bank S.A., Germany Branch